

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/922.221

**CAMPBELL & FLORES LLP** 

SAN DIEGO, CA 92122

4370 LA JOLLA VILLAGE DRIVE

23601

7TH FLOOR

08/02/2001

Glen A. Evans

P-EA 4854

**CONFIRMATION NO. 8915** 

**FORMALITIES LETTER** 

OC000000006795940\*

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37
- Total additional claim fee(s) for this application is \$214.
  - \$54 for 3 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1054.

**Customer Service Center** 

음음음음 1222360 91000000 A copy of this notice <u>MUST</u> be returned with the reply. Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE **COPY OF PAPERS** ORIGINALLY FILED

PATENT

Our Docket:

P-EA 4854

UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Glen A. Evans

Serial No.: 09/922,221

Filed: August 2, 2001

For: METHOD FOR ASSEMBLY OF A

POLYNUCLEOTIDE ENCODING A

TARGET POLYPEPTIDE

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Attention: BOX MISSING PARTS

Examiner: Not yet assigned

Group Art Unit: 1643

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention Box Missing PartsCommissioner for Patents Washington DC 20231 on October 18, 2001.

Astrid R. Spain, Reg. No. 47,95

October 18, 2001

Date of Signature

## MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed September 26, 2001, enclosed are:

- X 1. A copy of the Notice to File Missing Parts;
- X 2. An executed Declaration for Patent Application;
- \_\_\_ 3. A Recordation Form Cover Sheet and an executed Assignment;
- X 4. An executed Small Entity Statement;
- X 5. An executed Power of Attorney for Patent Application by Assignee;
- X 6. An executed Statement Under CFR 3.73(b) with copy of executed Assignment

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE		
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	23-20	=	3	x	\$9	\$18	=	\$27	\$
INDEPEN- DENT CLAIMS	5-3	=	2	x	\$42	\$84	==	\$84	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES X NO					\$140	\$280	=	\$0	\$
*					BASIC FEE			\$370	\$740
				-	TOTAL FEE			\$481	

 $\underline{X}$  7. A check in the amount of \$546.00 to cover the filing fee of \$481.00, and the surcharge fee of \$65.00 for the application is enclosed.

COPY OF PAPERS ORIGINALLY FILED Inventor:
Serial No.:

Glen A. Evans 09/922,221

Filed:

August 2, 2001

Page 2

- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
  - X Any additional filing fees required under 37 C.F.R. 1.16.
  - Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: October 18, 2001

Astrid R. Spain Reg. No. 47,956

CAMPBELL & FLORES LLP 4370 La Jolla Village Drive 7th Floor

San Diego, California 92122 Telephone: (858) 535-9001 Facsimile: (858) 535-8949 USPTO CUSTOMER NO. 23601



STATEMENT UNDER 37 C.F.R. § 3.73(b) WITH COPY OF EXECUTED ASSIGNMENT Attorney Docket No.: P-EA 4854 Serial No.: 09/922,221

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on October 18, 2001.

Вγ

Astrid R. Spain, Reg. No. 47,956

October 18, 2001 Date of Signature POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No.: P-EA 4854

Serial No.: 09/922,221

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on October 18, 2001.

Ву

Astrid R. Spain, Reg. No. 47,956

October 18, 2001 Date of Signature